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ABSTRACT	This deliverable is comprised by guidelines for applicants, template of the sub-grantee agreement, application form for applicants, Q&A section and online microsite at the project website and F6S platform for the second call of DAPSI project.
KEYWORDS	Call documentation

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PU	Public, fully open, e.g. web	✓
CL	Classified, information as referred to in Commission Decision 2001/844/EC	
CO	Confidential to HUB4NGI project and Commission Services	

** R: Document, report (excluding the periodic and final reports)*

DEM: Demonstrator, pilot, prototype, plan designs

DEC: Websites, patents filing, press & media actions, videos, etc.

OTHER: Software, technical diagram, etc.

EXECUTIVE SUMMARY

This document is the second release of D3.1 Call Documentation. It is part of the Work Package 3 (WP3 – Call Management) and details the documentation needed for the second open call. This deliverable has a clear purpose: to present all the documentation required to publish the open call with all legal and administrative guarantees and show the main differences with the documentation prepared for the first open call.

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1 INTRODUCTION

This deliverable is comprised of a set of documents that have been published online for the opening of the second open call on the 20th of November 2020. This deliverable is a compilation of the following documents:

- Guidelines for applicants. Including the rules for the open call and the evaluation criteria. This document is also easily available online (on the website and application form) for the interested applicants in our call.
- Application form. Form that needs to be fulfilled by applicants and that is available online on F6S.
- Proposal Template
- Additional Applicant(s) information
- Q&A. Set of questions and answers that is made available to sort out the main doubts about the open call and DAPSI process.
- Online Microsite at the project website and F6S platform.
- Template for the sub-grantee agreement.

These documents comprise the information required to publish the call with all legal and administrative guarantees.

2 LESSONS LEARNED AND IMPROVEMENTS

Based on the lessons learnt in the 1st open call and the feedback received from the advisory board and the EC we have implemented the following main changes for the second call in relation with the documentation needed:

- Reduction of the proposal length from 9 to 7 pages.
- Creation of the proposal template also in open format (.odt)
- Clarifications in the guidelines, proposal template, form and FAQs based on the questions and doubts received during the first open call.
- The description of the second call scope has changed in some aspects and these novelties are included in the guidelines.
- Inclusion of a Declaration of Honor in the application form where applicants state explicitly that they have enough operational capacity and they did not received funding for the same project from other NGI RIAs or, more in general, Commission funding schemes. That way we ensure the operation capacity of the applicant and we avoid double funding.
- Inclusion of a privacy statement in the application form in order to cross-check entities names and content of the projects with other NGI projects.
- Rephrasing some of the expressions used in the DAPSI website for a simpler and more objective interpretation.

The specific details that have varied in each document from first to second call are indicated in the following sections. .

3 CALL DOCUMENTS

3.1 GUIDELINES FOR APPLICANTS

Included as attachment (ANNEX I) at the end of the document and online at:

https://dapsi.ngi.eu/wp-content/uploads/DAPSI_GuidelinesforApplicants_Call2.pdf

The main novelties from first to second call are:

- The reference to the number of proposals to be funded has been removed. We just mention the available funding. We keep open the possibility to support all the TPs to pass to phase 2, depending on available budget and quality of the projects at the end of the phase 1 when they are evaluated by the experts.
- The NGI programme scope is described and reinforced in the guidelines for applicants. It is highlighted that only projects with a research part and main work dedicated to tech development will be selected. Projects focused only on paperwork are out of the NGI scope.
- This new call includes the research subdomains: 1) Service Portability (new), 2) Data Compatibility & Interoperability, 3) Security & Privacy and 4) Any Other Data and Service portability relevant projects. The specific reference to projects focused on Data Transparency is removed.
- During the evaluation process there will be possibility to request further information to applicants if evaluators need it.
- In the award criteria section the category of excellence increases the weight from 40% to 50% and project planning decreases from 30 to 20%.

3.2 SUB-GRANTEE AGREEMENT

The sub- grantee agreement is signed between the DAPSI Consortium (represented by its coordinator Zabala Innovation Consulting.), and the beneficiary/ies. Detailed payment schedule and payment conditions will be settled in the Sub-grant Agreement.

The Sub-Grant Agreement will introduce provisions concerning confidentiality, data protection intellectual and property rights among other aspects. There will be two different subgrantee agreement models, one for the individual projects and another one for the multi beneficiary ones.

At the end of the document a model of the subgrantee agreement (ANNEX II) is included.

The main novelties from first to second call are:

- The duration of the agreement has been better indicated in Article 1.
- A Declaration of Honor about Operational Capacity and double funding has been added.

3.3 APPLICATION FORM

Available online in F6S platform at <https://www.f6s.com/dapsiopencall2/apply>

At the end of the document some screenshots containing the information shown in the form (ANNEX III) are included.

The main novelties from first to second call are:

Specific questions to know if:

- Applicants have applied or plan to apply to another call. It must be answered in the proposal form identifying potential overlaps.
- Applicants submitted a similar proposal in the previous call, to have this into account in the evaluation.
- Inclusion of a Declaration of Honor in the application form where applicants state explicitly that they have enough operational capacity and they did not received funding for the same project.
- Applicants give consent to share information of the proposal with other NGI project coordinators.

SECTION 1: PROPOSAL IDENTIFICATION

1 **Proposal Title ***

200

2 **Proposal Acronym ***

20

3 **Keywords ***

Please select the keywords related to your proposal

<input type="checkbox"/> Trustworthy hardware & manufacturing	<input type="checkbox"/> Network & Transport infrastructure (Including routing, P2P & VPN)	<input type="checkbox"/> Software Engineering (Including protocols, interoperability and fundamentals e.g. cryptography, algorithms, proofs)
<input type="checkbox"/> Operating Systems, firmware and virtualisation	<input type="checkbox"/> Measurement, monitoring, analysis & abuse handling	<input type="checkbox"/> Middleware, distribution, deployment, operations, DNS, authorisation, authentication, reputation systems
<input type="checkbox"/> Decentralised solutions, blockchain, distributed ledger	<input type="checkbox"/> Data & AI	<input type="checkbox"/> Services & Applications (e.g. email, instant messaging, search, video chat, collaboration, community)
<input type="checkbox"/> Vertical applications	<input type="checkbox"/> Trustworthiness (Including: transparency, auditability and security)	<input type="checkbox"/> Resilient, robust and dependable
<input type="checkbox"/> Privacy and confidentiality	<input type="checkbox"/> Empowerment and self-determination	<input type="checkbox"/> Inclusiveness, accessibility diversity and democracy
<input type="checkbox"/> Permissionless innovation, decentralisation and level playing field	<input type="checkbox"/> Social good, fairness and ethical behaviour	<input type="checkbox"/> Sustainability/Eco-friendliness
<input type="checkbox"/> Well-balanced economy		

SECTION 2: SUBDOMAIN & SCOPE

4 **There are four different types of challenges that you can choose from to apply to DAPSI. You can choose one category, or more if your project fits more than one category. ***

<input type="checkbox"/> Service Portability	<input type="checkbox"/> Data Compatibility & Interoperability	<input type="checkbox"/> Security & Privacy
<input type="checkbox"/> Other		

5 **Does the project contain a relevant research component? ***

☐ Yes

☐ No

6 **Does the proposal include work dedicated to technical development (software/hardware development component)? ***

☐ Yes

☐ No

FIGURE 1. SCREENSHOT OF THE APPLICATION FORM

3.4 PROPOSAL TEMPLATE

To apply to the open call, apart from the form, it is necessary to complete the proposal template (included as attachment as ANNEX IV in this deliverable), a document prepared to help the applicants on the preparation of the proposal. This document is also available in open format (ODT) version. It has been organized to ensure that the important aspects of the planned work are clearly measurable with respect to the evaluation criteria. The page limit of the full proposal is 7 pages (including cover page) and it is composed by these sections:

- 1. Overview of the proposal
- 2. Excellence/Innovation
- 3. Expertise and Excellence of the team
- 4. Project Planning and value for money

The main novelties from first to second call are:

- The length has been reduced
- New question included about the team motivation to participate
- Some abstract questions have been rephrased, removed or simplified
-

3.5 ADDITIONAL APPLICANT(S) INFORMATION TEMPLATE

The form application in F6S is prepared for a maximum number of applicants. In case the proposal submitted has more than 3 applicants participating as individuals (Natural person) or/and more than 3 applicants participating as organizations (Legal entities), it is necessary to upload to the Section 2 of the application form an additional document (included at the end of the document as ANNEX V), filled with the information about the applicant(s) that did not fit in the F6S form.

3.6 Q&A

An extensive list of Questions and Answers section is included online in the project website. The questions are being updated with the requests received by DAPSI team. Available online at <https://dapsi.ngi.eu/faqs/>.

3.7 ONLINE MICROSITE AND PROJECT WEBSITE

The project website is <https://dapsi.ngi.eu/> where the information about the project is publicly available. The website has a dedicated page about the open call (<https://dapsi.ngi.eu/apply/>) comprising the following content: open call deadline, programme dates, target groups, links to the application form on F6S, open call subdomains, supporting services offered, programme phases, open call documents, and a contact form.

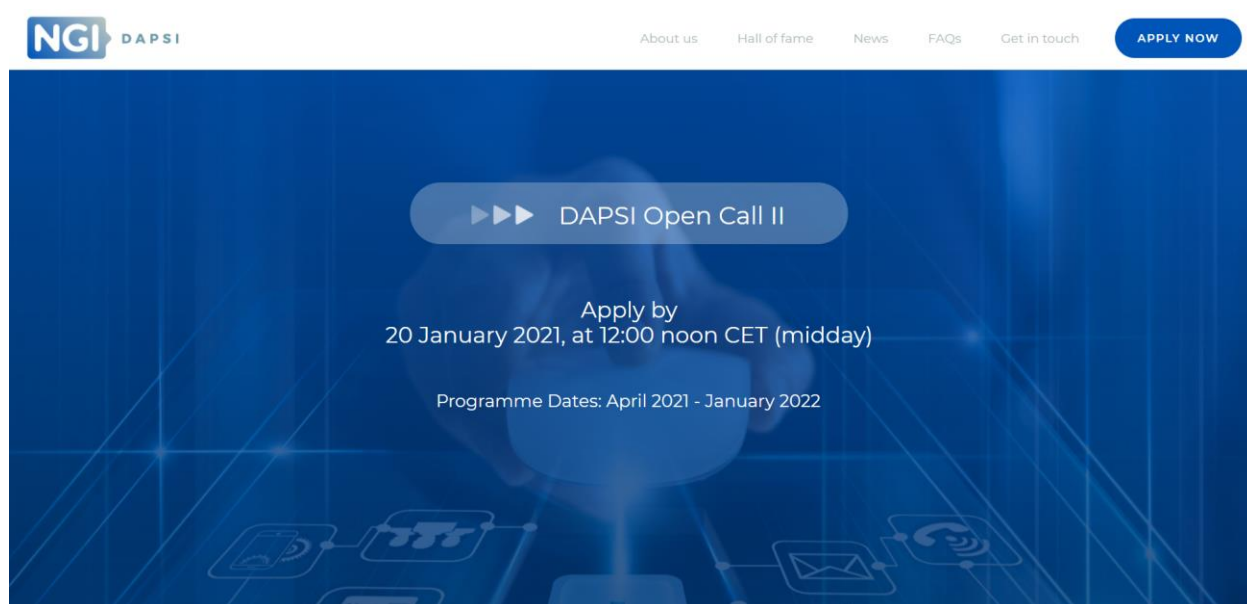


FIGURE 2. SCREENSHOT OF THE WEBSITE MICROSITE

In addition, there is an online F6S microsite comprising the following 3 pages:

- <https://www.f6s.com/dapsiopencall2/apply> where the online application for the open calls is carried out.
- <https://www.f6s.com/dapsiopencall2/about> with general information about the project.
- <https://www.f6s.com/dapsiopencall2/discuss> which is a discussion space between applicants, DAPSI team, and other stakeholders.

4 ANNEXES

ANNEX I GUIDELINES FOR APPLICANTS



Grant Agreement No.: 871498

Call: H2020-ICT-2018-2020

GUIDELINES FOR APPLICANTS

DAPSI 2nd open call for proposals

Closing Date for Proposals:
Wednesday, 20th January 2021 at 12:00 (noon) CEST

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1 OVERVIEW AND SUMMARY OF THE OPEN CALL

As users of the internet, we sometimes feel as if our data is no longer under our own control. When some online service conveniently stores our data for us without even asking, it often turns out impossible to get it out in one piece later on. That is a rather hefty problem, if you consider how we do online these days. And it becomes increasingly urgent if you want to actually stop using a service – for instance because you realise that the company is seriously violating your privacy, doing business in an unethical way, overcharging you or just because you run into serious limitations of the service. At that point in time you need service portability and data portability: a convenient way to switch services and take your data with you without unnecessary friction. An important way to reach these goals, is through free and open source software that you can run anywhere – either on a machine you own yourself, or anywhere in the cloud. But of course, you still need the software to do what you need to do. And that may require significant effort.

DAPSI, the Data Portability and Services Incubator, is a European project funded by the European Commission under the European Union's Horizon 2020 Research and Innovation Programme, and part of the European Commission's Next Generation Internet (NGI) initiative.

It was launched in November 2019, to help develop address the challenge of personal data portability on the internet as foreseen under the GDPR, as well as any other interesting topics related to data and service portability including free-flow of personal data.

DAPSI will support some 50 projects through a total of three open calls in the coming three years, distributing a total amount of €5.6M.

This 2nd open call will fund some relevant open source projects that help us create a better internet, distributing a total grant of 2.1M€. Individuals and organisations can apply. The call is open for submission **from 20th November 2020 until 20th January 2021, at noon** (12 p.m.; CEST).

In addition to a grant to deliver your project, DAPSI will give you various kinds of support – both technical and non-technical through a 9-month programme. You can apply for a grant up to 150.000€. Initially, selected projects will get up to 100k€ and it can even increase to 150k€ if your project is successful and progresses until the end of the programme as follows:

- DAPSI's **Phase 1** (about 5 months duration), will help the selected teams to clearly detail the R&I activities to be carried out and will provide them with funding (up to € 100,000), technical and non-technical support services and potentially access to FIWARE Lab Infrastructure. By the end of this phase, the selected teams will deliver at least a first prototype of their solutions to demonstrate how their solution shall look like and will operate.
- After an assessment made by a panel of qualified experts and based on milestones and results achieved, the performing teams will get into phase 2. In **Phase 2** (about 4 months duration) the teams will implement an operational and viable solution (e.g. MVP (Minimum Viable Product) or service or similar). They will receive an additional funding (up to € 50,000) and support with a pack of technical and business-related services as well as access to top infrastructure, if needed.

Notice that the number of projects selected to access phase 2 is kept open, subject to the availability of funds and quality of the projects evaluated at the end of phase 1.

1.1 WHAT TYPES OF PROJECTS WILL BE ELIGIBLE?

Projects must be based on innovation and research on components that are relevant for Data and Service Portability. Ideally, end-users need to be able to separate their content and data from internet-based software and services. This ability would re-establish the boundaries between content owner and service provider, allowing alternative and complementary services to be mixed and matched. DAPSI is looking for concrete solutions that benefit real users, not for paper exercises.

Not every problem can be fully addressed within the time frame of participation in DAPSI. Thus, parts/components of a bigger project are also eligible for funding, enhancing open systems, developing and improving federated systems, interworking, for consumer and business services, such as calendaring, office applications. Open source results are expected as it allows for reusability future extensions.

It is **highly recommended to use Free and Open Source Licenses** (i.e. Free and Open Source Software and Open Source Hardware) **and Open Standards**. If not, it should be duly justified.

The project should have a strong research part and main work dedicated to technical development and tangible outcomes that benefit real users. Projects delivering paperwork as main (or only) output at the end of the project will not be selected as it is not the scope of the call. We expect running code, and that even holds for projects that focus on standardization.

NGI DAPSI will support projects on data and service portability in general including the following sub-domains. Notice that there is not a pre-defined number of projects to be funded under each subdomain.

- **Service Portability** to empower users to share their data with any service provider and host that they trust;
- **Data Compatibility & Interoperability** to facilitate switches between service providers;
- **Security & Privacy** of consumers when their personal data are transferred from one provider to another.
- Other relevant Data and Service portability projects

The subdomains are not separate but merge into one another. This transition can be fluid.

The results of the funded projects should become available with Open Licences and/or standards (i.e., Open Software and/or Creative Commons, Open Hardware) and advance the state of the art. Re-use of Open data for the specific use-case should be prioritized. In case the applicants plan to use their own data that are worthwhile for the community at large, it should be published according to the FAIR principles.

What can you do to make the internet more open, and help people take back their data?

An indicative list of possible areas of concern/opportunities (specific topics) is provided below. Notice that proposers may use these suggestions as inspiration for developing their projects or may address additional fitting topics for which they have clearly identified a user need or market demand.

SERVICE PORTABILITY

DAPSI encourages proposals that aim to create (or enhance) viable open alternatives for widely used proprietary services that limit our freedom to move: whether it is online conferencing tools like Google Hangouts, Skype or WhatsApp, online office applications, social networks, software repositories, online calendars, platforms like Salesforce, etc. A project can be a new project, but it can also build on top of upcoming open alternatives for these consumer and business services – such as Peertube, Pixelfed, Jitsi, Etebase, the XMPP ecosystem, OpenStreetMap etc. that can be extended. Since making switching easy is crucial, adding "Import from ..." functionality from widely used proprietary services in these projects can also help to make data and portability be a more satisfying experience.

Due to the limited time of participation in DAPSI, projects under this category can create a first version as catalyst for future work of the community or improve existing works in the field.

DATA COMPATIBILITY & INTEROPERABILITY

Data portability enables the transfer of personal data from one environment to another, for instance, during switches between providers. These environments are often not directly compatible. One goal of data portability is to break data silos and enable individuals to control their personal data and use it to their benefits. This sub-domain is therefore dedicated to the development of specific methods and tools that transfer users' data from one environment to another with as little effort as possible. The FAIR¹ principles, as well as syntactic and semantic interoperability, must be taken into account here. Solutions should also make use of open technologies, common shared formats, structures, and standards, where applicable. If it becomes necessary to develop a new standard, this must be well argued. It must be ensured that the standard-setting process involves relevant stakeholders and standardization committees and is efficient at the same time. A clear high-level definition is necessary.

Possible areas and aspects of work are the following (a combination is also welcome):

- Implementations featuring researched and evaluated models for interoperability, allowed open formats and open specifications of domain-specific data structures (e.g. a catalogue of basic standards and tools to transform between them).
- Design and develop standardized vocabularies and ontologies describing relevant domains and their exploitation to structure personal data in order to facilitate data transfer without giving away company secrets or violating legal duties and obligations (taking already available GRPR-compliant efforts in developing data privacy vocabularies into account).
- Tools or methods for semi-automatically converting data from one format to another (e.g., generic (semantic) mapping technologies, generic open API technologies, lifting/lowering concepts). The solutions should tackle issues such as loss-less transmission, dealing with incompatible data formats, and data correctness. Using these tools should be as transparent as possible for the user.

¹ FAIR: findability, accessibility, interoperability, and reusability

- Design, implementation, and deployment of support structures for start-ups and SMEs within the implementation of standards or data conversion tools in the Data Portability field and with relation to GDPR.
- Other open questions, which often touch these areas are:
 - How to retain specific functional requirements in data storage while standardizing data?
 - How to design a cross-domain data model architecture with an embedded trust model?
 - Dealing with the user's (gesture of) consent that the old provider should transfer data to the new provider. Is it the new provider pulling from the old, the old one pushing to the new, or is there an interim broker that first pulls from the old, and then pushes to the new?

SECURITY & PRIVACY

When transferring sensitive data between entities, privacy and security measures are always important. This concern does not just require secure data encryption and transmission during a transfer, but should also consider the data content per se, i.e., ensure that only the intended data is transferred, whether rights granted to the first party can be transferred together with the data and whether the individual user is fully aware of the implications. Solutions must ensure that the state of the art is appropriately included, and good data protection practices for data subjects are used.

Possible areas and aspects of work are the following (a combination is also welcome):

- Innovative demonstrations that build on and evaluate existing (or emerging) best practices regarding:
 - the concept of (inverse) privacy and personal data ownership;
 - legal powers concerning further processing of the personal data and methods for depersonalizing;
 - the synchronization of user expectations and experiences with the existing legal and technical constraints;
 - services for user awareness in the field of privacy and security implications.

- Pilot implementation of concepts ensuring that data protection within a company is observed. Solutions tackling issues such as:
 - compliance with the data protection guidelines and the conditions specified by the user for the use of the data;
 - dealing with conflicts in case of data breaches (e.g., notifying users in case of sharing with unauthorized third parties);
 - secure storage;
 - full anonymization/pseudonymization of data.
- Rules and approaches for the deletion of data and for ensuring the right to be forgotten.
- Solutions that ensure the (syntactic and semantic) correctness and integrity of data when it is transferred to another controller.
- Tools and services based on methods, concepts or best practices that seek to minimize security risks during data porting (including topics such as decrypted/encrypted communication, generic data obfuscation technologies, generic data anonymization technologies, proof of identity, access management, data provenance, security audit). The minimization or elimination of third parties required to make data encryption and transmission more secure should be duly considered here.

1.2 WHAT HAPPENS AFTER THE PROPOSALS ARE SUBMITTED?

Immediately after the submission deadline (20th January 2021 at noon), the evaluation process begins (as described in detail in Section 3 of this Guide).

Experts will evaluate the proposals submitted through the online system and will score them adequately to the quality of the content presented. The best proposals satisfying minimum quality thresholds (as defined in the evaluation criteria) will be selected for funding respecting the ranking and until the overall budget limit is reached ².

² The exact number of selected projects will be subject to available budget.

2 ELIGIBILITY CRITERIA

All applicants will have to abide to all general requirements described in this section to be considered eligible for DAPSI. Therefore, read this section carefully.

2.1 TYPES OF BENEFICIARIES

The target audience of this call are:

- Internet technologists, researchers and innovators
- Researchers and developers employed in third-level education institutes, research infrastructures, non-profit organisations and charitable (scientific) foundations and research centres or enterprises among others.

These expert profiles can apply as individuals or linked to a legal entity. Hence, the participation is possible in two ways:

- **Natural person(s):**
 - One or more individuals (team);
 - established in any eligible country (see section 2.2). This does not consider the country of origin but the residence permit.
- **Legal entity:**
 - one or more entities (consortium);
 - established in an eligible country (see section 2.2);
 - universities, research centres, NGOs, foundations, micro, small and medium-sized enterprises (see definition of SME according to the Commission Recommendation 2003/361/EC)³ working on internet or/and other related technologies are eligible. Large enterprises cannot participate.
- any **combination** of the above

Notice that if a team suffers any modification after the submission of the proposal, it should be notified to DAPSI consortium via email.

³ SME definition: https://ec.europa.eu/growth/smes/business-friendly-environment/sme-definition_en

In addition, the following conditions apply:

- The organisations or individuals applying should not have convictions for fraudulent behaviour, other financial irregularities, unethical or illegal business practices.
- The participating organisations should not have been declared bankrupt or have initiated bankruptcy procedures.
- DAPSI project beneficiaries must have the appropriate resources to implement the full set of tasks needed within the project. This means it is not allowed to subcontract key parts of the project.
 - Examples (not restricted to) of subcontracting not desired are, paying an external developer not in the company, paying a research centre or foundation to execute technical tasks, etc. Employees of a company are never considered subcontractors but part of the company itself.
 - Example (not restricted to) of subcontracting activities that could be appropriate if needed are legal services or design services.

In addition, the subcontracting amount should not represent a relevant amount of the total budget that will be dedicated to the project.

2.2 PROJECT SCOPE

Projects should be aligned with NGI programme expectations. They must include:

- **Research component:** apps and services that innovate without a research component are not covered by the NGI model, as stated in the NGI Work Programme. Proposed project must include a relevant research component.
- **Technology Development:** NGI is looking for technology development and not for just paperwork and reports. The project should include a software/hardware development component.

Projects that do not have a research and technology development focus are out of the NGI scope and will not be selected for funding.

2.3 ELIGIBLE COUNTRIES

Only applicants legally established/resident in any of the following countries (hereafter collectively identified as the “Eligible Countries”) are eligible:

- The Member States (MS) of the European Union (EU), including their outermost regions;
- The Overseas Countries and Territories (OCT) linked to the Member States⁴;
- H2020 associated countries (those which signed an agreement with the Union as identified in Article 7 of the Horizon 2020 Regulation): according to the updated list published by the EC⁵;
- The UK applicants are eligible under the conditions set by the EC for H2020 participation at the time of the deadline of the call.

2.4 LANGUAGE

English is the official language for DAPSI open calls. Submissions done in any other language will not be evaluated. English is also the only official language during the whole execution of the DAPSI programme. This means any requested submission of deliverables will be done in English in order to be eligible.

2.5 MULTIPLE SUBMISSIONS

Only one proposal will be accepted for funding per applicant per call.

Given the fact this call is a competitive one, and the third parties will focus in a specific challenge, **only one proposal per applicant will be evaluated**. In the case of a multiple submission, only the last one received (timestamp of the system) will enter into our evaluation process, the rest being declared as non-eligible.

⁴ Entities from Overseas Countries and Territories (OCT) are eligible for funding under the same conditions as entities from the Member States to which the OCT in question is linked.

⁵ http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/3cp/h2020-hi-list-ac_en.pdf

If the last submitted proposal is declared then non-eligible or fails to reach the thresholds of the evaluation, the other proposals submitted earlier will not be considered for evaluation in any case.

In the case of applicants participating as natural person (or group of individuals):

- The same individuals will be selected for funding only once, as in the case of a legal entity.
- If an individual is taking part in several teams:
 - The members of the other teams will be informed about the participation of an individual in multiple teams.

The individuals participating in multiple teams will be requested to select only one proposal and will be removed from the others.

2.6 SUBMISSION SYSTEM

Only proposals submitted through the Open Call submission tool (F6S platform) at <https://www.f6s.com/> and within the Call duration will be accepted. Proposals submitted by any other means, will not be evaluated.

Only the documentation included in the application will be considered by evaluators. It will be composed by a form with administrative questions to be completed directly in the platform and the proposal description attached in PDF format.

The information provided should be actual, true and complete and should allow the assessment of the proposal.

The regular functioning of the F6S platform limits to one application submission per F6S user in each call. If an F6S user wishes to submit more than one application, for example on behalf of different legal entities, the F6S user should request support from the F6S support team (support@f6s.com) at least 10 days prior the open call deadline.

2.7 DEADLINE

Only proposals submitted before the deadline will be accepted. After the call closure no additions or changes to received proposals will be taken into account. The deadline for this call **is 20th January 2021 at 12.00 noon (midday)**.

2.8 ABSENCE OF CONFLICT OF INTEREST

Applicants shall not have any actual or/and potential conflict of interest with the DAPSI selection process and during the whole programme. All cases of conflict of interest will be assessed case by case. In particular, **applicants cannot be DAPSI Consortium partners or affiliated entities nor their employees or co-operators under a contractual agreement.**

2.9 OTHER

Each applicant must confirm:

- It is not under liquidation or is not an enterprise under difficulty accordingly to the Commission Regulation No 651/2014, art. 2.18,
- Its project is based on the original works and going forward any foreseen developments are free from third party rights, or they are clearly stated,
- It is not excluded from the possibility of obtaining EU funding under the provisions of both national and EU law, or by a decision of both national or EU authority,
- It has enough operational capacity to carry out the proposed work
- It has not received funding for exactly the same project from other NGI RIA or European commission funding schemes.

The non-compliance of any of the mentioned statements will make the application to be discarded from the evaluation process.

3 EVALUATION PROCESS

The evaluation process is shown in the following figures:

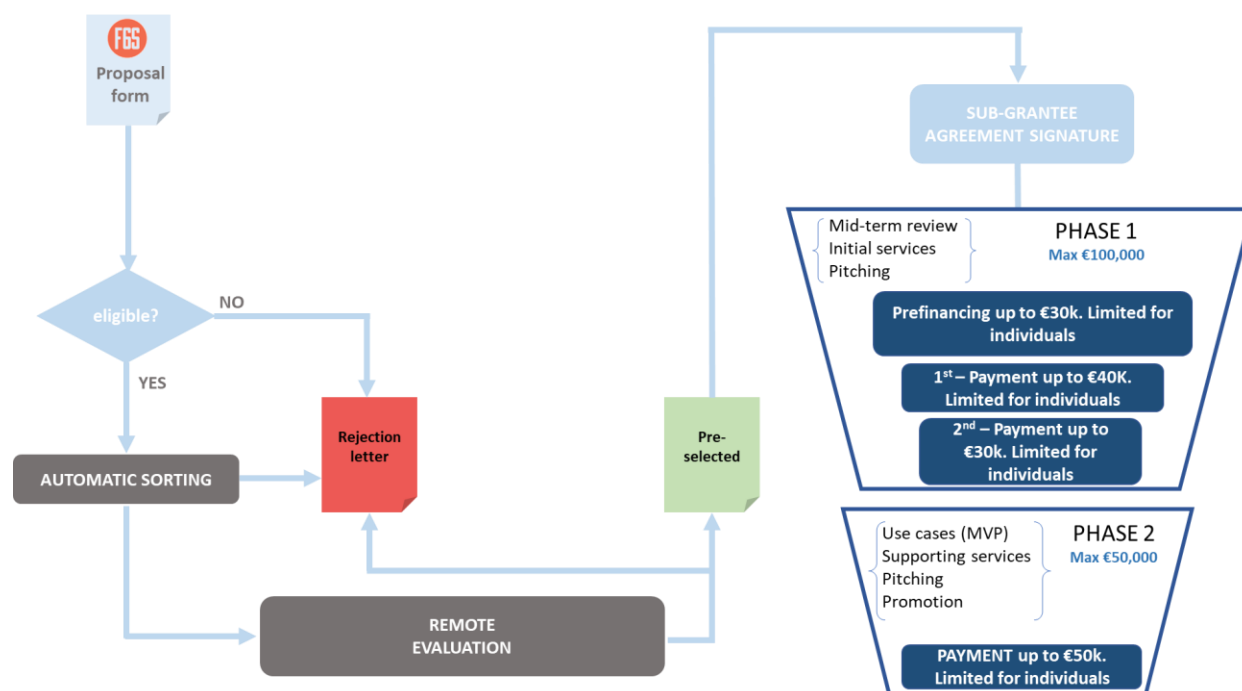


FIGURE 1: DAPSI EVALUATION PROCESS SCHEME

Each of the stages will have a set of criteria to access the next stage but also to raise the obligation from DAPSI consortium on the financial support. The following paragraphs provide a detail set procedures and criteria at the time of evaluating and awarding the financial support to the third parties.

3.1 EVALUATION PROPOSALS AND ACCESS TO DAPSI PROGRAMME

1. **Proposals reception:** via F6S.
2. **Eligibility filter:** An automatic filtering to discard non-eligible proposals will follow the short list. Eligibility check will verify that applicants should be registered in an EU Member State or a Horizon 2020 associated country. In

addition, the uniqueness of the proposal, the existence of the same proposal already funded by DAPSI, any other NGI call, etc.

3. **Remote evaluation:** After the eligibility filter, the final shortlists for evaluation will be created. Then, the proposals will be given to the external evaluators bound by confidentiality agreement. Every proposal will be evaluated **by two different experts**. The criteria for evaluation will be:

- Excellence & innovation (50% weighting).
- Expertise and excellence of the proposed team (30% weighting)
- Project planning (20% weighting)

The experts will score each award criterion on a scale from 0 to 5 (half point scores may be given):

- 0 = Proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.
- 1 = Poor: criterion is inadequately addressed or there are serious inherent weaknesses.
- 2 = Fair: proposal broadly addresses the criterion, but there are significant weaknesses.
- 3 = Good: proposal addresses the criterion well, but a number of shortcomings is present.
- 4 = Very good: proposal addresses the criterion very well, but a small number of shortcomings is present.
- 5 = Excellent: proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

For each section, the minimum threshold is 3 out of 5 points. The default **overall threshold**, applying to the sum of the three individual scores with the corresponding weight each, **is 12**.

During the evaluation process, the external experts can request further information to applicants if it is needed. Applicants will have to provide that extra information within a specified period of no more than five natural days from reception of the request. The result of this phase is a shortlist of proposals that will be presented for validation/final selection during the Consensus Meeting.

4. **Consensus meeting of the evaluation panel:** Following the individual evaluations, a consensus meeting (or several) of the evaluation panel will be organised. During this meeting(s), a final ranking will be agreed and where necessary an additional review of projects for which there was a lack of consensus in terms of scoring by individual evaluators or for which additional clarifications are required will be undertaken.
5. The DAPSI **consortium will then formally approve a list of projects** within the limits of the available funding.
6. **Approval by European Commission** prior to contracting: The list of selected projects will be submitted to the European Commission for final screening.
7. **Communication of Results:** Every applicant will receive via e-mail:
 - An Evaluation Summary Report (ESR)
 - A letter informing of rejection decision, invitation to negotiation and following steps.

3.2 NEGOTIATION PROCESS

The objective of the negotiations is fulfilling the legal requirements between DAPSI consortium and every selected project of the call. The items covered will be:

- **Status information of the beneficiaries:**
 - For legal entities:
 - **Legal existence.** Company Register, Official Journal and so forth, showing the name of the organization, the legal address and registration number and, if applicable, a copy of a document proving VAT registration (in case the VAT number does not show on the registration extract or its equivalent).
 - If the applicant has been validated on the Beneficiary Register of the H2020 Participant Portal, to provide the PIC number is enough.
 - **In the specific case of enterprises:** Additional documents to prove the SME condition:

SMEs check list: signed and stamped. It includes the headcount (AWU), balance, profit & loss accounts of the latest closed financial year and the relation, upstream and downstream, of any linked or partner company.

Supporting documents. In cases where either the number of employees or the ownership is not clearly identified: any other supporting documents which demonstrate headcount and ownership such as payroll details, annual reports, national regional, association records, etc.

- For natural persons (individuals):
 - A copy of the ID-card or passport of participant(s) in the project team will be required.
 - A proof for every participant in the project that (s)he is legally established and working in an eligible country (see section 2.2).
- **Bank account information:** The account where the funds will be transferred will be indicated via form signed by the coordinating entity/individual and the bank owners. The holder of the account will be the coordinating legal entity or the individual(s) (allowed by the other team members in collaborative projects).
- **Sub-grantee funding agreement:** Signed between the DAPSI Consortium (represented by its coordinator Zabala Innovation Consulting.), and the beneficiary/ies.

The information request, by DAPSI consortium, will be done including deadlines. Failing to meet the deadlines requested will directly end up the negotiation process.

EVALUATION OF PHASE 1

The selected DAPSI teams will have an initial set-up of KPIs (by the coaches) to set clear and objective indicators to which the funding will be linked. The assessment of the KPIs execution will be done twice at midterm of the period and at the end.

Selected teams will be requested to attend a welcome event (Kick off), devoted to knowing the DAPSI consortium, the other winning projects and the details of the participation in the programme. This event will take place at the beginning of the Phase 1, in April 2021 and its attendance is mandatory to receive the first payment. If the current pandemic situation allows (according to Health authorities recommendations) it will be physical. A virtual format will be alternatively offered if needed.

Phase 1 assessment (linked to payments):

- **In the midterm (M3)**, there will be an evaluation in a remote way to follow up the progress of the teams according to the KPIs defined.
The assessed KPIs will be divided in two categories: 1) Technical progress and 2) Beneficiaries engagement and attendance to activities.
The mid-term assessment will be carried out by an internal coach, who will be in charge of the follow-up process of each team.
To evaluate the degree of fulfilment of those KPIs, some deliverables will be asked during the project execution.
- **At the end of the phase 1 (M5)**, there will be a new evaluation to follow up the progress of the teams according to the KPIs defined.
Again, internal coaches will assess the KPIs in three categories: 1) Technical progress, 2) Business progress and 3) Beneficiaries engagement and attendance to activities.

Access to phase 2:

In M5 there will be a final face to face event during September 2021 (or virtual format if physical presence is not possible due to the COVID-19) to evaluate the teams and their initial version of the solutions.

In this event the teams will present their projects and a jury of experts will select **the best ones to progress to Phase 2**.

EVALUATION OF PHASE 2

In addition to the fulfilment of the KPIs in Phase 2, the teams will be requested to attend a final event during January 2022. This event will be used to evaluate the teams on a face to face basis. The teams will present a demo/MVP/service of the solution implemented, the research carried out and their business idea.

A panel of judges consisting of the DAPSI Advisory Board members (and consortium partners), who are experts in the field of Data Portability will assess the teams to release the final payment. As well, the assessment of the coaches will be also considered.

MAIN REQUIREMENTS AS PART OF THE EVALUATION

To monitor the progress and proper evolution of the teams and accomplishment of KPIs, some requirements are defined (tentative list to be revised and refined before the programme starts):

- Online presence in the training webinars and associated deliverables that could be requested after some of the trainings.
- Online attendance to individual business mentoring: total of three sessions.
- Physical/virtual presence during 2-3 meetings (kick-off at the beginning of Phase 1, Phase 1 final review, Phase 2 final review).
- Delivery of reports/deliverables included in the proposal work plan to evaluate the fulfilment of technical KPIs.
- Any other report requested by coaches, needed for the assessment.

4 FINANCIAL SUPPORT PROVIDED

Selected teams will become part of DAPSI programme and will go through an exhaustive sequential process which will last 9 months and will be composed of 2 phases. Payments will be done in 4 instalments based on concrete results and the amounts will vary depending on the type of team (See Section 2.1 Type of Beneficiaries). Applicants participating as **natural persons will get a maximum of 37,5k€ for one individual and 75k€ for groups of two or more individuals. Legal entities could obtain up to 150k€.**

PHASE 1

- **Beginning of the implementation** and First payment: This will be done, subject to two conditions:
 - The signature of the sub-grant agreement by the beneficiary where the detailed proposal and work plan to be implemented will be included and committed.
 - The attendance to a welcome event where teams, partners and coaches will know each other (attendance mandatory).

A first payment of 20% will be released once the two conditions are met.

During the welcome event, each team will know the KPIs to be achieved during the first weeks of the Phase 1 and assessed for the following payments. These KPIs could be different for each team and are related on the project to be implemented by each beneficiary. These KPIs will measure the technological advance, the progress in the business strategy if any, but also the commitment and involvement of the teams (i.e. attending periodic call meetings with the coaches, meeting the deadlines for reporting, etc).

- **Mid-term review and second payment:** By the end of month 3 of the phase and at the end of the implementation period, the coaches will assess the KPI's percentage of execution of the project evaluating some mandatory deliverables to present. A 50-100% completion of the KPIs will unlock the total of the second

payment A lower completion of the tasks will launch the proportional payment. If the KPIs are met by less than a 25% the payment will be retained until the end of the phase when this will be released (full or partially) according to the KPIs completion.

- **Final review and third payment:** Following the same logic as before, teams will be paid according to their overall completion of KPIs. Only in the case of an underperformance below of a 25% the team will be disqualified, and no further payment released. This will be paid at the end of Phase 1, after the attendance to the face to face event organised in September 2021 (attendance mandatory).

PHASE 2:

- **Final review and final payment:** Teams will be paid according to their overall completion of KPIs and attendance and presentation in the final event. Only in the case of an underperformance below of a 25% the team will be disqualified, and no further payment released.

Summary of funding per type of beneficiary (example of maximum amounts):

	Phase 1			Phase 2	Total
	First payment	Second payment	Third payment	Final payment	
One natural person	7.500 €	10.000 €	7.500 €	12.500 €	37.500 €
Group of natural persons	15.000 €	20.000 €	15.000 €	25.000 €	75.000 €
Legal Entity(ies) or combination of legal entity(ies) and individual(s)	30.000 €	40.000 €	30.000 €	50.000 €	150.000 €

Detailed payment schedule and payment conditions will be settled in the Sub-grant Agreement.

In the case of projects with **multiple beneficiaries, the payments will be done to the coordinator**. The coordinator must distribute the payments between the beneficiaries and will be done according to the conditions set in the Consortium Agreement, signed by all the beneficiaries, previous to the signature of this Subgrantee Agreement.

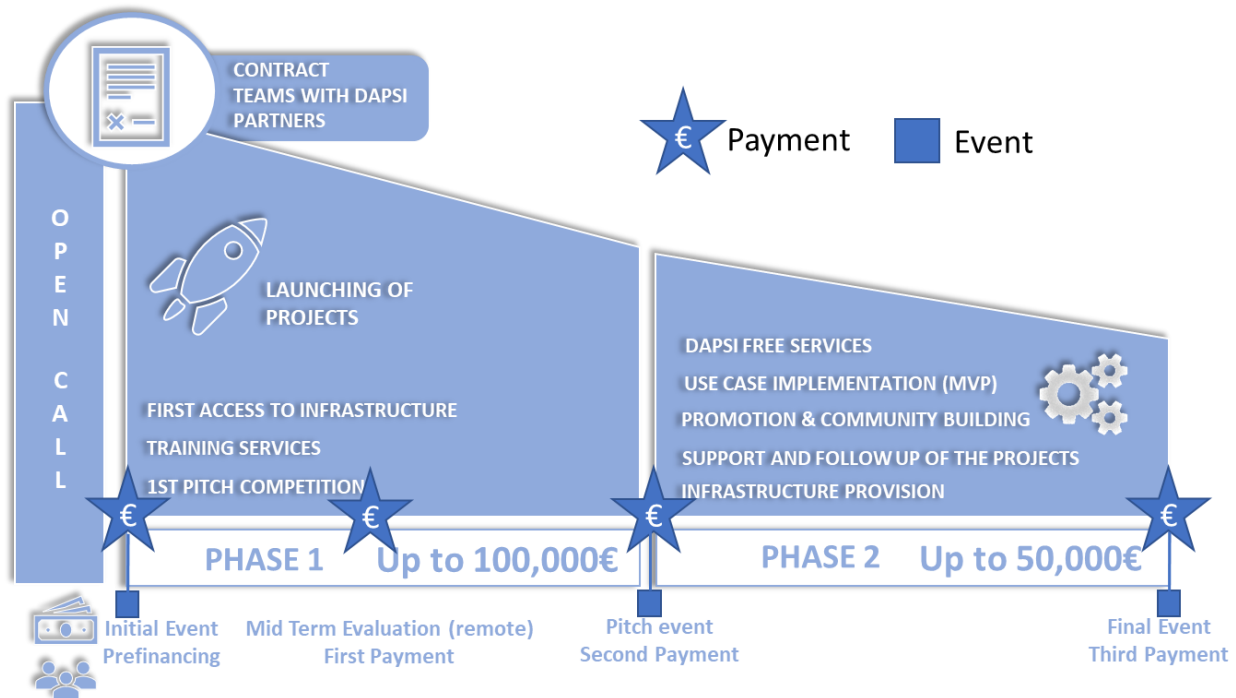


FIGURE 2: DAPSI MAIN MILESTONES AND PAYMENTS

4.1 ORIGIN OF THE FUNDS

Any selected proposer will sign a dedicated Sub-Grantee Funding Agreement with the DAPSI project coordinator (on behalf of DAPSI Consortium). The funds attached to the Sub-Grantee Funding Agreement come directly from the funds of the European Project DAPSI, and the DAPSI consortium is managing the funds according to the Grant Agreement Number 871498 signed with the European Commission.

As will be indicated in the Sub-Grantee Funding Agreement, this relation between the sub-grantees and the European Commission through DAPSI project carries a set of obligations to the sub-grantees with the European Commission. It is the task of the sub-grantees to accomplish them, and of the DAPSI consortium partners to inform about them.

5 PREPARATION AND SUBMISSION OF PROPOSALS

The submission will be done through the F6S platform (<https://www.f6s.com/>) which is directly linked from DAPSI website. The applicants are required to register a profile at F6S to be able to submit a proposal. The documents that will be submitted are:

- **Application form:** administrative questions **to be completed directly in the F6S** platform. Moreover, some general questions for statistic purpose and tick boxes to be clicked by the third parties confirming they have read the conditions and agree with the conditions defined in this document.

In addition, an ANNEX III (optional) will be uploaded in case that more than 3 applicants participate as individuals (natural persons) or/and more than 3 applicants participate as organisations (legal entities) filled with the information about the applicant(s) that do not fit in the application form.

- **Proposal description:** document in **PDF format** containing the description of the project, **to be uploaded in the F6S form**. It will include different sections: (1) Overview of the proposal, (2) Excellence/Innovation, (3) Expertise and Excellence of the proposed team, (4) Project Planning and Value for money.

The project proposals must strictly adhere to the [template](#) provided by DAPSI consortium via F6S platform, which defines sections and the overall length. Participants are requested to carefully read and follow the instructions in the form. Evaluators will be instructed not to consider extra material in the evaluation. Additional material, which has not been specifically requested in the online application form, will not be considered for the evaluation of the proposals.

It is strongly recommended not to wait until the last minute to submit the proposal. Failure of the proposal to arrive in time for any reason, including communications delays, automatically leads to rejection of the submission. The time of receipt of the message as recorded by the submission system will be definitive.

DAPSI offers a dedicated support channel available for proposers at **dapsi@ngi.eu** for requests or inquiries about the submission system or the call itself. Those received AFTER the closure time of the call will neither be considered nor answered.

6 APPLICANTS COMMUNICATION FLOW

6.1 GENERAL COMMUNICATION PROCEDURE

The applicants will receive the communications after each step of the evaluation process indicating if they passed or not. A communication will be also sent to applicants rejected, including the reasons for the exclusion.

6.2 APPEAL PROCEDURE

If, at any stage of the evaluation process, the applicant considers that there has been a shortcoming in the way the proposal has been evaluated that may affect the final decision on whether to fund it or not; or if the applicant believes the results of the eligibility checks are incorrect and have failed to comply with the rules of the Open Call; and that her/his interests have been prejudiced as a result, the following appeal procedure is available.

If there is clear evidence of a shortcoming that could affect the eventual funding decision, it is possible that all or part of the proposal will be re-evaluated.

Please note:

- This procedure is concerned with the evaluation and/or eligibility checking process. The consortium will not call into question the scientific or technical judgement of appropriately qualified experts.
- A re-evaluation will only be carried out if there is evidence of a shortcoming that affects the final decision on whether to fund it or not. This means, for example, that a problem relating to one evaluation criterion will not lead to a re-evaluation if a proposal has failed anyway on other criteria.
- The evaluation score following any re-evaluation will be regarded as definitive. It may be lower than the original score.

A complaint should be drawn up in English and submitted by email to: dapsi@ngi.eu. Any complaint made should include:

- contact details,
- the subject of the complaint,
- information and evidence regarding the alleged breach.

Anonymous complaints or those not providing the mentioned information will not be considered.

Complaints should also be made within five (calendar) days since the evaluation results are sent to the applicants.

As a general rule, the DAPSI Team will investigate the complaints with a view to arriving at a decision to issue a formal notice or to close the case within no more than twenty days from the date of reception of the complaint, provided that all required information has been submitted by the complainant. Where this time limit is exceeded, the DAPSI Team will inform the complainant by email.

If a definitive response cannot be given at that stage, this reply will indicate when a definitive response will be provided. The DAPSI consortium does not undertake to engage in any further discussion about the evaluation of your proposal beyond the definitive response.

Only one request for appeal per proposal will be considered by the consortium.

Finally, the consortium counts on your cooperation not to send in speculative requests for re-evaluation. Unless there is clear evidence of a shortcoming, there will be no follow-up or re-evaluation.

7 TECHNICAL SERVICES AND INFRASTRUCTURES

Selected participants will receive support with the following services:

- Data related services:** To help the teams being up to date with the most recent technologies, several online and on-site tutorial sessions will be set up. Experts will provide with in-depth “sprint” courses, so that DAPSI teams will receive high-quality lessons about a very specific topic.
- Business support services:** To support the teams to exploit their use cases and successfully reach the market, different trainings and sessions with mentors will be organised. Depending on the team profile, aspects such as Value Proposition, pitching or IPR (among others) will be explored.
- Access to Infrastructure:** All the teams selected for the incubation programme will have access to the FIWARE Lab Infrastructure. The FIWARE Lab infrastructure provides an enhanced OpenStack-based cloud environment plus a rich set of open standard APIs that make it easier to process and analyse Big Data and real-time media or incorporate advanced features for user interaction. FIWARE includes a set of open source software technologies, the Generic Enabler (GEs), whose specifications are open (publicly and royalty-free). Each GE is associated to one or more Generic Enabler Implementations (GEi) which are platform products which implement a given GE specification.

8 INTELLECTUAL PROPERTY RIGHTS (IPR)

8.1 IPR OWNERSHIP OF THE SUB-GRANTED PROJECTS

The ownership of all IPR created by the beneficiaries, via the DAPSI funding, will remain with them. Results are owned by the Party that generates them. The Sub-Grant Agreement will introduce provisions concerning joint ownership of the results of the sub-granted projects.

This will be assessed and negotiated case by case.

8.2 COMMUNICATION OBLIGATIONS

There are no IPR obligations toward the European Commission (EC). However, any communication or publication of the beneficiaries shall clearly indicate that the project has received funding from the European Union and the DAPSI programme, therefore displaying the EU and logo on all printed and digital material, including websites and press releases. Moreover, beneficiaries will agree that certain information regarding the projects selected for funding can be used by DAPSI consortium for communication purposes.

8.3 DATA EXCHANGE WITH OTHER NGI PROJECTS

To check and avoid double funding and confirm the operational capacity of a project, DAPSI consortium will share the needed information (such as entities names and project details (abstract or the full proposal)) with other NGI RIAs projects for the only purpose already mentioned.

9 SUPPORT FOR THE APPLICANTS

For more information about the DAPSI Open Call, please check the Frequently Asked Questions (FAQs) section included at <https://www.dapsi.ngi.eu/faq>.

For further information on the Open Call, in case of any doubts regarding the eligibility rules, the information that is to be provided in the Application Form, or if you encountered technical issues or problems with the Application Form, please contact DAPSI Technical Helpdesk email: dapsi@ngi.eu.

10 SCHEDULE

The table below presents the indicative dates during which each phase of the evaluation and DAPSI's programme phases will take place:

DESCRIPTION	INDICATIVE DATES
Call Launch	20 th November 2020
Submission Deadline	20 th January 2021 12:00 PM CET
Evaluation Period	Until beginning March 2021
Signature of Sub-grant Agreement	During March 2021
Phase 1	From April 2021 to September 2021
Phase 2	From October 2021 to January 2022

The schedule is based on estimations according to the expected number of proposals received and the actual timing of the consecutive phases may vary.

ANNEX II TEMPLATE FOR THE SUB-GRANTEE AGREEMENT.



Grant Agreement No.: 871498
Call: H2020-ICT-2018-2020

Topic: ICT-24-2018-2019
Type of action: RIA

SUBGRANTEE AGREEMENT MODEL

DAPSI 2nd open call for proposals

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CONTRACTING PARTIES

This Agreement is between the following parties:

On the one part,

ZABALA INNOVATION CONSULTING, S.A., a private organization organized under the laws of Spain, established in Paseo Santxiki, 3 Bis, Mutilva (Navarra) – Spain, duly represented by [Name of legal representative and Position], hereinafter referred as the “**Contractor**”. ZABALA INNOVATION CONSULTING acts on behalf of the DAPSI Consortium.

and

On the other part,

The coordinator

- [if a Legal entity]:
[Name of Legal Entity], with VAT number [...], organized under the laws of [...], established in [address], duly represented by [Name of legal representative and Position],

- [if a natural person]:
[NAME_SURNAME], a self-employee/natural person, with ID [ID_NUMBER] established in [ADDRESS and COUNTRY],

And the following **other beneficiary/ies**

- [if a Legal entity]:
[Name of Legal Entity], with VAT number [...], organized under the laws of [...], established in [address], duly represented by [Name of legal representative and Position],

- [if a natural person]:
[NAME_SURNAME], a self-employee/natural person, with ID [ID_NUMBER] established in [ADDRESS and COUNTRY],
Hereinafter referred as the “**beneficiaries**”.

Unless otherwise specified, references to “beneficiary” or “beneficiaries” include the coordinator.

Hereinafter collectively referred as the “**Contracting Parties**”.

The Contracting Parties AGREE to the following terms and conditions including those in the following Annexes, which form an integral part of this Sub-grant Agreement (hereinafter referred as the “**Contract**”).

GENERAL PROVISIONS

The European Commission (hereinafter referred as the “EC”) and the Contractor on behalf of the DAPSI Consortium have signed the Grant Agreement no. 871498 for the implementation of the project Data Portability and Services Incubator (“DAPSI”) within the framework of the European Union’s Horizon 2020 Research and Innovation programme (the “Grant Agreement”).

The beneficiaries have received the favourable resolution by the external evaluators and therefore is entitled to receive funding and services according to the terms and conditions set out under this Contract and in accordance with the Guidelines for Applicants of DAPSI open call (Annex 2).

The Contract aims at defining the framework of rights and obligations of the Contracting Parties for the development of the Project as defined in Annex 1.

The funds received by the beneficiaries are owned by the EC. The Contractor is a mere holder and manager of the funds.

ARTICLE 1 – ENTRY INTO FORCE OF THE CONTRACT AND TERMINATION

This Contract shall enter into force on the day of its signature by the last Contracting Party.

The termination of the Contract will be subject to the terms and conditions set out in Annex 2 – Guidelines for Applicants, being May 2021 the latest date for those progressing until the end of the programme.

ARTICLE 2 – OBLIGATIONS AND RESPONSIBILITIES OF THE BENEFICIARIES

The obligations and responsibilities of the beneficiaries are defined in detail in the Annex 2 - Guidelines for Applicants.

Additionally, the beneficiaries shall take every necessary precaution to avoid any risk of conflict of interest relating to economic interests, political or national affinities, personal or any other interests liable to influence the impartial and objective performance of the Project. In case a beneficiary is involved in a conflict of interest or in a risk of conflict of interest, the beneficiary must formally notify this situation to the Contractor without delay and immediately take all the necessary steps to rectify this situation.

ARTICLE 3 – BREACH OF CONTRACTUAL OBLIGATIONS

In the event the Contractor identifies that a beneficiary:

- i. Breached its obligations under the Contract, including the lack of impartial or objective performance of the Project because of conflicts of interest;
- ii. Stopped to carry out its business object of this Contract and therefore is not able or willing to continue the Project;
- iii. Is engaged in a bankrupt or receivership process.

The Contractor will give written notice requiring that such breach to be remedied within 30 days.

In case the beneficiary has not brought remedies from the notice, the Contractor may decide to terminate the contract unilaterally.

Moreover, in the event the breach of the contractual obligations has been manifestly intentioned or with gross negligence, the Contractor may request the beneficiary the refund of the payments made to date.

ARTICLE 4 – FINANCIAL CONTRIBUTION AND FINANCIAL PROVISIONS

4.1. Maximum financial contribution

The maximum financial contribution, per project, to be granted by the Contractor to the beneficiary shall not exceed the amount of One Hundred and Fifty Thousand Euros (150,000€).

The grant amount, per project, will be limited for beneficiaries participating as natural persons with a maximum of 37,5k€ for projects with only one individual and 75k€ for projects with two or more individuals.

4.2. Distribution of the financial contribution

The financial contribution to be granted to the beneficiaries shall be calculated and distributed in accordance with the provisions of the Guidelines for Applicants.

In any case, the financial grant to be paid will always be subject to:

- a favourable resolution by the evaluators and coaches responsible for assessing the Project in each of the stages (a set of KPIs will be set-up by coaches and sub-grantees and their achievement monitored during the incubation process execution)
- the availability of funds in DAPSI bank account during the relevant payment period
- the prior written notice to the beneficiaries of the date and amount to be transferred to its bank account (Annex 3 - Bank account information form), giving the relevant references.
- payments to the beneficiaries will be made by the Contractor to the coordinator. In particular:

- The Contractor reserves the right to withhold the payments in case the beneficiaries does not fulfil with its obligations and tasks as per Annex 2 - Guidelines for Applicants.
- Banking and transaction costs related to the handling of any financial resources made available to the beneficiaries by the Contractor shall be covered by the beneficiaries
- Payments will be released no later than fifteen (15) natural days after the notification by the Contractor
- The beneficiaries are responsible for complying with any tax and legal obligations that might be attached to this financial contribution.

4.3. Payments Amounts and schedule

The payment schedule is directly linked to the relevant phase of the Project as per the Guidelines for Applicants (Annex 2).

The following maximum payments will be made to the coordinator:

	Phase 1			Phase 2	Total
	Pre-financing (M1)	First payment (M3)	Second payment (M5)	Third payment (M10)	
One natural person	7.500 €	10.000 €	7.500 €	12.500 €	37.500 €
Group of natural persons (team)	15.000 €	20.000 €	15.000 €	25.000 €	75.000 €
Legal Entity(ies) or combination of legal entity(ies)+individual(s)	30.000 €	40.000 €	30.000 €	50.000 €	150.000 €

TABLE 1: DAPSI MAXIMUM FUNDING DISTRIBUTION

The beneficiaries are entitled to receive exclusively those payments allocated to each specific stage of the Project provided that the conditions under Article 4.2 are met.

4.4. Payments to the coordinator — Distribution to the beneficiaries [For Multi-beneficiaries only]

The payments are made to the coordinator; the beneficiaries are NOT paid individually.

Payments to the coordinator will discharge the Contractor from its payment obligation. The coordinator must distribute the payments between the beneficiaries without unjustified delay.

The distribution of the payment by the coordinator to the rest of beneficiaries is in principle an internal matter for the consortium and will be done according to the

conditions set in the Consortium Agreement, signed by all the beneficiaries, previous to the signature of this Subgrantee Agreement.

Also, if the coordinator does NOT comply with its obligations to distribute payment, this is an issue to be resolved within the consortium.

ARTICLE 5 - DIVISION OF BENEFICIARIES' ROLES AND RESPONSIBILITIES - RELATIONSHIP WITH COMPLEMENTARY BENEFICIARIES - RELATIONSHIP WITH PARTNERS OF A JOINT ACTION [For Multi-beneficiaries only]

5.1 Roles and responsibility towards the Contractor

The beneficiaries have full responsibility for implementing the action and complying with the sub-Agreement.

The beneficiaries are jointly and severally liable for the technical implementation of the action as described in Annex 1. If a beneficiary fails to implement its part of the action, the other beneficiaries become responsible for implementing this part (without being entitled to any additional EU funding for doing so).

5.2 Internal division of roles and responsibilities

The internal roles and responsibilities of the beneficiaries are divided as follows:

(a) Each beneficiary must:

- I. inform the coordinator immediately of any events or circumstances likely to affect significantly or delay the implementation of the action;
- II. submit to the coordinator in good time:
 - a. the data needed to draw up the technical reports;
 - b. any other documents or information required under the sub-Agreement, unless the sub-Agreement requires the beneficiary to submit this information directly to the Contractor or the Commission.

(b) The coordinator must:

- I. monitor that the action is implemented properly;
- II. act as the intermediary for all communications between the beneficiaries and the Contractor;
- III. request and review any documents or information required by the Contractor and verify their completeness and correctness before passing them on to the Contractor;
- IV. submit the reports to the Contractor;
- V. ensure that all payments are made to the other beneficiaries without unjustified delay;

The coordinator may not delegate or subcontract the above-mentioned tasks to any other beneficiary.

5.3 Internal arrangements between beneficiaries — Consortium agreement

The beneficiaries must have internal arrangements regarding their operation and co-ordination to ensure that the action is implemented properly. These internal

arrangements must be set out in a written 'consortium agreement' between the beneficiaries, which may cover:

- internal organisation of the consortium;
- distribution of EU funding;
- additional rules on rights and obligations related to background and results (including whether access rights remain or not, if a beneficiary is in breach of its obligations);
- settlement of internal disputes;
- liability, indemnification and confidentiality arrangements between the beneficiaries.

The consortium agreement must not contain any provision contrary to the sub-Agreement.

ARTICLE 6 – LIABILITY OF THE BENEFICIARIES

Neither the Contractor, nor the EC can be held liable for any acts or omissions of the beneficiaries in relation to this Contract.

At the same time, the beneficiaries are responsible for any act or omission that causes damage to the Contractor and/or the EC in relation to this Contract. In the case of multi beneficiaries, all its members will be jointly responsible for the damages caused. Each beneficiary shall bear sole responsibility for ensuring that their acts within the framework of this Contract do not infringe third parties' rights.

There is no joint liability between the Contracting Parties.

ARTICLE 7 – CONFIDENTIALITY

7.1. Principles

With respect to all information of whatever nature or form as is disclosed between the Contracting Parties in connection with the Project and identified in writing as confidential, the terms of this Article shall apply.

7.2. Obligations

The Contracting Parties agree that such information is communicated on a confidential basis and its disclosure may be prejudicial to the owner of the information, and each of the receiving Party undertake that:

- It will not, during the term of the Project and for a period of four (4) years from the expiration date of the DAPSI Project on 30 October 2022, use any such information for any purpose other than in accordance with the terms of the Contract.
- It will, during the term of the Project and for a period of four (4) years from the expiration date of the DAPSI Project on 30 October 2022, treat the same as (and

to procure that the same be kept) confidential, provided always that such agreement and undertaking shall not extend to any information which the receiving Party can show:

- a. Was, at the time of disclosure to the concerned Contracting Party, published or otherwise generally available to the public;
 - b. has, after disclosure to either of the Contracting Parties, been published or become generally available to the public otherwise than through any act or omission on the part of the receiving Party,
 - c. was already in the possession of the receiving Party, without any restrictions on disclosure, at the time of disclosure, or
 - d. was rightfully acquired from others without any undertaking of confidentiality; or
 - e. is subsequently independently developed by the receiving Party without use of the information provided by the disclosing party.
- iii. it will, during the term of the Project and for a period of four (4) years from the expiration date of the DAPSI Project on 30 October 2022, take the appropriate measures to guarantee the confidentiality of the information provided and the Contractor may request at any time information about these measures and their compliance.
- iv. it may disclose confidential information to their personnel or third parties involved in the action only if they:
- a. need to know to implement the Contract, and
 - b. are bound by an obligation of confidentiality.

In case of breach of the confidential rules hereinabove set, the Contracting Party breaching the confidentiality will remain solely liable towards possible claims.

ARTICLE 8 – DATA PROTECTION

8.1. Data protection obligations

The Contracting Parties have the obligation to abide by the Regulation (EU) 2016/679 (General Data Protection Regulation – GDPR) of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data.

The processing of personal data shall be carried out lawfully, fairly and in a transparent manner, collected for specified purposes and adequate, relevant and limited to what is necessary in relation to the purposes for which it is processed.

Article 9 – Intellectual property rights

9.1. Results of the Project

The results developed during the Project shall be exclusively property of the beneficiary that generates them.

In case of multiple beneficiaries, they must agree (in writing) on the allocation and terms of exercise of their ownership in the Consortium agreement.

ARTICLE 10 – FORCE MAJEURE

“Force Majeure” shall mean any unforeseeable exceptional situation or event beyond the Contracting Parties’ control, which prevents either of them from fulfilling any of their obligations under the Contract, which was not attributable to error or negligence on their part and which proves to be inevitable in spite of exercising all due diligence. Any default of a service, defect in equipment or material or delays in making them available, unless they stem directly from a relevant case of force majeure, as well as labour disputes, strikes or financial difficulties cannot be invoked as force majeure.

The Contracting Parties shall take the necessary measures to limit any damage due to force majeure. They shall do their best to resume the implementation of the action as soon as possible.

No Contracting Party shall be considered to be in breach of its obligations and tasks if such breach is caused by force majeure. A Contracting Party will notify the other Contracting Parties of any force majeure as soon as possible. In case the beneficiary is not able to overcome the consequences of Force Majeure within thirty (30) calendar days after such notification, the Contractor will decide accordingly including the termination of the Contract.

ARTICLE 11 – INFORMATION AND COMMUNICATION

11.1. Information and communication towards the EC and DAPSI Consortium

The beneficiaries shall, throughout the duration of the Project, take appropriate measures to engage with the public and the media about the project and to highlight the financial support of the EC. Unless the EC requests otherwise, any publicity, including at a conference or seminar or any type of information or promotional material (brochure, leaflet, poster, presentation etc.), must specify that the project has received research funding from the EC and display the European emblem.

In particular, the beneficiaries must include the following text: For communication activities: “This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 871498”. For infrastructure, equipment and major results: “This [infrastructure][equipment][insert type of result] is part of a project that has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 871498”.

When displayed in association with a logo, the European emblem should be given appropriate prominence. This obligation to use the European emblem in respect of

projects to which the EC contributes implies no right of exclusive use. It is subject to general third-party use restrictions which do not permit the appropriation of the emblem or of any similar trademark or logo, whether by registration or by any other means. Under these conditions, the beneficiary is exempted from the obligation to obtain prior permission from the EC to use the emblem.

Any publicity made by the beneficiary in respect of the project, in whatever form and on or by whatever medium, must specify that it reflects only the author's views and that the EC is not liable for any use that may be made of the information contained therein.

The EC and DAPSI consortium may use, for its communication and publicising activities, information relating to the action, documents notably summaries for publication and public deliverables as well as any other material, such as pictures or audio-visual material received from any beneficiary (including in electronic form). The EC right to use a beneficiary's materials, documents and information includes:

- (a) use for its own purposes (in particular, making them available to persons working for the EC or any other EU institution, body, office or agency or body or institutions in EU Member States; and copying or reproducing them in whole or in part, in unlimited numbers);
- (b) distribution to the public (in particular, publication as hard copies and in electronic or digital format, publication on the internet, as a downloadable or non-downloadable file, broadcasting by any channel, public display or presentation, communicating through press information services, or inclusion in widely accessible databases or indexes);
- (c) editing or redrafting for communication and publicising activities (including shortening, summarising, inserting other elements (such as meta-data, legends, other graphic, visual, audio or text elements), extracting parts (e.g. audio or video files), dividing into parts, use in a compilation);
- (d) translation;
- (e) giving access in response to individual requests under Regulation No 1049/2001, without the right to reproduce or exploit;
- (f) storage in paper, electronic or other form;
- (g) archiving, in line with applicable document-management rules, and
- (h) the right to authorise third parties to act on its behalf or sub-license the modes of use set out in Points (b), (c), (d) and (f) to third parties if needed for the communication and publicising activities of the EC.

The beneficiaries shall ensure that all necessary authorisations for such publication have been obtained and that the publication of the information by the EC does not infringe any rights of third parties (including personnel of the beneficiary).

Upon a duly substantiated request by the Contractor on behalf of the beneficiary, the EC may agree to forego such publicity if disclosure of the information indicated above would risk compromising the beneficiary's security, academic or commercial interests.

11.2. Information and communication among the Contracting Parties

Any notice to be given under this Contract shall be in writing to the addresses and recipients listed above.

Any change of persons or contact details shall be notified immediately to the Contractor. The address list shall be accessible to all concerned.

ARTICLE 12 – FINANCIAL AUDITS AND CONTROLS

The EC may, at any time during the implementation of the Project and up to two years after the final payment of the DAPSI project (foreseen for January 2023), arrange for financial audits to be carried out, by external auditors, or by the EC services themselves including the European Anti-Fraud office (OLAF) and the European Court of Auditors (ECA), on the beneficiaries. The audit procedure shall be deemed to be initiated on the date of receipt of the relevant letter sent by the EC. Such audits may cover financial, systemic and other aspects (such as accounting and management principles) relating to the proper execution of the Grant Agreement. They shall be carried out on a confidential basis.

The beneficiary concerned shall make available directly to the EC all detailed information and data that may be requested by the EC or any representative authorised by it, with a view to verifying that the Grant Agreement is properly managed and performed in accordance with its provisions and that costs have been charged in compliance with it. This information and data must be precise, complete and effective.

The beneficiary concerned shall keep the originals or, in exceptional cases, duly authenticated copies – including electronic copies - of all documents relating to the Contract until 2026. These shall be made available to the EC where requested during any audit under the Grant Agreement.

In order to carry out these audits, the beneficiary shall ensure that the EC's services and any external body(ies) authorised by it have on-the-spot access at all reasonable times, notably to the beneficiary's offices, to its computer data, to its accounting data and to all the information needed to carry out those audits, including information on individual salaries of persons involved in the project. They shall ensure that the information is readily available on the spot at the moment of the audit and, if so requested, that data be handed over in an appropriate form.

On the basis of the findings made during the financial audit, a provisional report shall be drawn up. It shall be sent by the EC or its authorised representative to the beneficiary concerned, which may make observations thereon within one month of receiving it. The EC may decide not to take into account observations conveyed or documents sent after that deadline. The final report shall be sent to the beneficiary concerned within two months of expiry of the aforesaid deadline.

On the basis of the conclusions of the audit, the EC shall take all appropriate measures which it considers necessary, including the issuing of recovery orders regarding all or part of the payments made by it and the application of any applicable sanction.

The European Court of Auditors shall have the same rights as the EC, notably right of access, for the purpose of checks and audits, without prejudice to its own rules. In addition, the EC may carry out on-the-spot checks and inspections in accordance with Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the EC in order to protect the European Communities' financial interests against fraud and other irregularities.

ARTICLE 13 – ASSIGNMENT AND SUBCONTRACTING

The beneficiaries shall not assign or transfer in whole or in part any of its rights or obligations under this Agreement without the Contractor express prior written consent.

ARTICLE 14 – LANGUAGE

This Agreement is drawn in English, language which shall govern all documents, notices, meetings and processes relative thereto.

ARTICLE 15 – AMENDMENTS

Amendments or changes to this Contract shall be made in writing and signed by the duly authorized representative of the Contracting Parties. Nevertheless, In the event the EC modifies the conditions, the Contractor will amend the Contract accordingly.

ARTICLE 16 – APPLICABLE LAW

This Contract shall be construed in accordance with and governed by the EU laws, supplemented if necessary, by the law of Belgium.

ARTICLE 17 – SETTLEMENT OF DISPUTES

If the Contracting Parties are unable to resolve a dispute amicably, such dispute will be finally settled under the Rules of Arbitration of the International Chamber of Commerce by three (3) arbitrators in Brussels.

Each of the Contracting Parties to the dispute shall appoint one (1) arbitrator and the two (2) arbitrators so appointed shall elect the presiding arbitrator. Should a Party to the dispute which should appoint an arbitrator fails to do so within fourteen (14) days of the delivery of the written notice to do so from the other Party to the dispute or should the appointed arbitrators fail to reach agreement on the presiding arbitrator within fourteen (14) days after their appointment, such arbitrator shall be appointed in accordance with the Rules upon request of any of the Parties to the dispute.

The seat of arbitration shall be Brussels.

The Contracting Parties agree that the language of the arbitration, including oral hearings, written evidence and correspondence, shall be English.

A duly rendered arbitration award shall be final and binding on the Contracting Parties to the dispute. Each Contracting Party to the arbitration conducted in accordance with this section hereof shall bear its own expenses incurred in connection with such arbitration, including fees of its legal counsels. All other costs and expenses shall be apportioned between the Contracting Parties to the arbitration in accordance with the decision of the arbitrators.

Nothing in this Contract shall the Contracting Parties right to seek injunctive relief or to enforce an arbitration award in any applicable competent court of law.

AS WITNESS:

The Contracting Parties have caused this Contract to be duly signed by the undersigned authorized representatives in xxxx (x) copies the day and year first above written:

For [ORGANISATION_NAME]
Mr/Ms [NAME SURNAME]
[POSITION_IN_ORGANISATION] (if organisation)

Signature
Done at [Place] on [Date]

For [ORGANISATION_NAME]
Mr/Ms [NAME SURNAME]
[POSITION_IN_ORGANISATION] (if organisation)

Signature
Done at [Place] on [Date]

For [ORGANISATION_NAME]
Mr/Ms [NAME SURNAME]
[POSITION_IN_ORGANISATION] (if organisation)

Signature
Done at [Place] on [Date]

.....

ANNEX 1 – Project text

[This refers to the proposal after introducing the changes, if any, during the negotiation]

ANNEX 2 – Guidelines for Applicants

[This refers to the Guidelines for applicants published by the time the call is open]

ANNEX 3 – Bank account information form

ACCOUNT HOLDER (as declared to the bank)

Full name:

Identity card / password / Tax identification number in case of company:

Address:

BANKING DETAILS

Bank Name:

Address:

IBAN Code (Compulsory for European Countries):

Account number:

BIC / SWIFT code (Compulsory for all countries):

If needed, enter the same details corresponding to the intermediary bank.

Any remarks:

ANNEX 4 – Declaration of honour

ANNEXIV - DECLARATION OF HONOUR

I, the undersigned, *[enter name of legal representative]*
_____, authorised to represent *[enter legal name of your organisation]* _____, as coordinator of the *[enter name of project: Proposal Acronym-Proposal title]*
_____, acts on behalf of the consortium.
hereby certify that:

1. the consortium has stable and sufficient operational capacity to maintain its activity throughout its participation in the action abovementioned action;
2. there is absence of double public funding. The very same project activities cannot receive other public funds.

<p>For the legal entity¹:</p> <p>_____</p> <p>Name/Surname:</p> <p>_____</p> <p>Position: _____</p>	<p>Signature and stamp (if applicable)</p>
<p>Done at (place) _____ the (day) _____ (month) _____ (year) _____</p>	

¹ This document needs to be signed by the legal representative of your organisation

ANNEX III APPLICATION FORM

DAPSI PROJECT - OPEN CALL #2

Empowering internet innovators to develop human-centric solutions to make it significantly easier for citizens to have any data which is stored with one service provider transmitted directly to another provider, addressing the challenge of personal data portability on the internet as foreseen under the GDPR.

More information on www.dapsi.ngi.eu

APPLICATION FORM

This Application form has the following mandatory sections:

SECTION 1: Proposal Identification

SECTION 2: Subdomain & Scope

SECTION 3: Administrative Data

SECTION 4: Proposal Description

SECTION 5: Final Questions

Documents to be reviewed when preparing the application:

Annex I: Guidelines for Applicants, defining the Open Call Terms & Conditions
dapsi.ngi.eu/wp-content/uploads/DAPSI_GuidelinesforApplicants_Call2.pdf

Annex II: Proposal Template, to ensure that the important aspects of your planned work are clearly measurable with respect to the evaluation criteria.
dapsi.ngi.eu/wp-content/uploads/DAPSI_proposal_template_Call2.docx

Annex III: Applicant(s) Information Template, only if your proposal involves more than 3 individuals (Natural persons) or/and more than 3 organisations (Legal entities).
dapsi.ngi.eu/wp-content/uploads/DAPSI_additional-applicants_AnnexIII.docx

If you have any questions, don't hesitate to contact the DAPSI team (dapsi@ngi.eu). Failure to provide the required information in all sections will result in disqualification.

Up to 150,000 EUR and supporting services await you. Good luck!

SECTION 1: PROPOSAL IDENTIFICATION

1 Proposal Title *

 200

2 Proposal Acronym *

 20

3 Keywords *

Please select the keywords related to your proposal

- | | | |
|--|---|--|
| <input type="checkbox"/> Trustworthy hardware & manufacturing | <input type="checkbox"/> Network & Transport infrastructure (Including routing, P2P & VPN) | <input type="checkbox"/> Software Engineering (Including protocols, interoperability and fundamentals e.g. cryptography, algorithms, proofs) |
| <input type="checkbox"/> Operating Systems, firmware and virtualisation | <input type="checkbox"/> Measurement, monitoring, analysis & abuse handling | <input type="checkbox"/> Middleware, distribution, deployment, operations, DNS, authorisation, authentication, reputation systems |
| <input type="checkbox"/> Decentralised solutions, blockchain, distributed ledger | <input type="checkbox"/> Data & AI | <input type="checkbox"/> Services & Applications (e.g. email, instant messaging, search, video chat, collaboration, community) |
| <input type="checkbox"/> Vertical applications | <input type="checkbox"/> Trustworthiness (Including: transparency, auditability and security) | <input type="checkbox"/> Resilient, robust and dependable |
| <input type="checkbox"/> Privacy and confidentiality | <input type="checkbox"/> Empowerment and self-determination | <input type="checkbox"/> Inclusiveness, accessibility diversity and democracy |
| <input type="checkbox"/> Permissionless innovation, decentralisation and level playing field | <input type="checkbox"/> Social good, fairness and ethical behaviour | <input type="checkbox"/> Sustainability/Eco-friendliness |
| <input type="checkbox"/> Well-balanced economy | | |

SECTION 2: SUBDOMAIN & SCOPE

4 There are four different types of challenges that you can choose from to apply to DAPSI. You can choose one category, or more if your project fits more than one category. *

- | | | |
|--|--|---|
| <input type="checkbox"/> Service Portability | <input type="checkbox"/> Data Compatibility & Interoperability | <input type="checkbox"/> Security & Privacy |
| <input type="checkbox"/> Other | | |

5 Does the project contain a relevant research component? *

- ☐ Yes
☐ No

6 Does the proposal include work dedicated to technical development (software/hardware development component)? *

- ☐ Yes
☐ No

SECTION 3: ADMINISTRATIVE DATA

APPLICANT(S)

7 You are applying as: *

Notice that as a single individual (natural person) you will get a maximum of 37,5k€.
As a group of individuals (two or more natural persons), you will get a maximum total of 75k€.
Any other configuration involving legal entities can obtain up to 150k€.
The funding will be automatically calculated according to the selection below.

- ☐ a single individual (natural person)
☐ a group of individuals (team)
☐ a single organisation (legal entity)
☐ a group of organisations (consortium)

☐ a group of individual(s) and organisation(s)

APPLICANT(S) INFORMATION (INDIVIDUAL(S))

Please fill in the following information about the individual(s) applying as natural person(s)

WARNING: if in the previous question you indicated you apply as a legal entity, or a consortium do not fill the Individuals section.

Individual - Natural person 1

8 Name

9 Surname

10 E-mail

11 ID type

Citizen card, passport, or other.

12 ID number

13 Country of residence/work

14 Has been funded by the European Commission through H2020 before? (Grant or subgrant)

- ☐ Yes
☐ No

15 Has been funded by other NGI project?

Select One ▼

16 Has recently applied to an NGI call that is under evaluation or plans to do so?

Select One ▼

Individual - Natural person 2

17 Name

18 Surname

19 E-mail

20 ID type

Citizen card, passport, or other

21 ID number

22 Country of residence/work

23 Has been funded by the European Commission through H2020 before? (Grant or subgrant)

- ☐ Yes
☐ No

24 Has been funded by other NGI project?

Select One ▼

25 Has recently applied to an NGI call that is under evaluation or plans to do so?

Select One ▼

Individual - Natural person 3

26 Name

27 Surname

28 E-mail

29 ID type

Citizen card, passport, or other

30 ID number

31 Country of residence/work

32 Has been funded by the European Commission through H2020 before? (Grant or subgrant)

- ☐ Yes
☐ No

33 Has been funded by other NGI project?

Select One ▼

34 Has recently applied to an NGI call that is under evaluation or plans to do so?

Select One ▼

APPLICANT(S) INFORMATION (ORGANISATION(S))

Please fill the following information about the organisation(s) applying as legal entity/ies

Organisation - Legal entity 1

35 Entity legal name

36 Legal status of your organisation

Select One ▼

37 Country

38 VAT number

39 Incorporation year

40 Contact person email

41 Has the legal entity been funded by the European Commission through H2020 before? (Grant or subgrant)

- ☐ Yes
☐ No

42 Has been funded by other NGI project?

Select One ▼

43 Has the legal entity recently applied to an NGI call that is under evaluation or plans to apply to?

Select One ▼

Organisation - Legal entity 2

44 Entity legal name

45 Legal status of your organisation

Select One ▼

46 Country

47 VAT number

48 Incorporation year

49 Contact person email

50 Has the legal entity been funded by the European Commission through H2020 before? (Grant or subgrant)

- ☐ Yes
☐ No

51 Has been funded by other NGI project?

52 Has the legal entity recently applied to an NGI call that is under evaluation or plans to apply to?

Organisation - Legal entity 3

53 Entity legal name

54 Legal status of your organisation

55 Country

56 VAT number

57 Incorporation year

58 Contact person email

59 Has the legal entity been funded by the European Commission through H2020 before? (Grant or subgrant)

- ☐ Yes
☐ No

60 Has been funded by other NGI project?

Select One ▼

61 Has the legal entity recently applied to an NGI call that is under evaluation or plans to apply to?

Select One ▼

Additional applicant(s)?

62 If your proposal has more than 3 applicants participating as individuals (Natural persons) or/and more than 3 applicants participating as organisations (Legal entities), please upload the Annex III - Applicant(s) Information, filled with the information about the applicant(s) that did not fit in this form. (Max file size 30MB.)

dapsi.ngi.eu/wp-content/uploads/DAPSI_additional-applicants_AnnexIII.docx

ELIGE UN DOCUMENTO

MAIN CONTACT PERSON (COORDINATOR)

Contact person for the proposal and coordination of the project

63 Full name *

 200

64 Entity (If applicable)

65 E-mail *

 50

66 Phone number *

Include country code

 50

SECTION 4: PROPOSAL DESCRIPTION. UPLOAD PROPOSAL IN PDF

Please upload your proposal in Portable Document Format (pdf)

67 Upload proposal (Max file size 30MB.) *

Use the official template available at: dapsi.ngi.eu/wp-content/uploads/DAPSI_proposal_template_Call2.docx
Applicants using other kind of template/ document structure will be automatically ineligible.

ELIGE UN DOCUMENTO

68 Did you apply to DAPSI 1st open call with the same or very similar proposal? *

Select One ▼

SECTION 5: FINAL QUESTIONS

69 Acceptance of the DAPSI Open Call Terms & Conditions *

Full call documents available at dapsi.ngi.eu

- ☐ By ticking this box, I/we confirm that we have reviewed, accept and comply with the DAPSI Open Call Terms & Conditions as defined in the Guidelines for applicants

70 Authorisation to apply in the name of *

- ☐ By ticking this box, I confirm the information submitted within this application is true. I am authorised to apply in the name of my entity/group of natural persons.

71 Conflict of interest avoidance with DAPSI consortium *

- ☐ By ticking this box, I confirm the members of the team involved in the proposal are not employees of any of the legal partners or their associated/linked-entities identified in the Grant Agreement No. 871498 with the EC.

72 Fraudulent behaviour avoidance *

- ☐ By ticking this box, I confirm the organisation(s) or individual(s) applying do not have convictions for fraudulent behaviour, other financial irregularities, unethical or illegal business practices.

73 Bankruptcy information *

- ☐ By ticking this box, I confirm the participating organisation(s) do(es) not have been declared bankrupt or have initiated bankruptcy procedures.

74 European Commission Regulation No 651/2014, art. 2.18 *

- ☐ By ticking this box, I confirm the applicant(s) is not under liquidation or is not an enterprise under difficulty according to the Commission Regulation No 651/2014, art. 2.18,

75 Originality and freedom to operate *

- ☐ By ticking this box, I confirm the project is based on the original works and going forward any foreseen developments are free from third party rights, or they are clearly stated

76 Applicant(s) eligibility *

- ☐ By ticking this box, I confirm the applicant(s) is not excluded from the possibility of obtaining EU funding under the provisions of both national and EU law, or by a decision of both national or EU authority,

77 Double funding and Operational Capacity *

By ticking this box, I confirm the applicant(s) has not received funding for a similar project and that the applicant(s) has enough Operational Capacity to carry out the work. In addition, the applicant(s) gives consent to DAPSI consortium to share the needed information (such as entities names and project details (abstract or the full proposal)) with other NGI RIAs projects for the only purpose of cross-check that there is not double funding or operational capacity conflict.

☐

78 How did you hear about DAPSI? *

- | | | |
|-------------------------------------|--|---|
| <input type="checkbox"/> News/media | <input type="checkbox"/> Social media | <input type="checkbox"/> Event |
| <input type="checkbox"/> E-mail | <input type="checkbox"/> F6S portal | <input type="checkbox"/> European Commission portal |
| <input type="checkbox"/> NGI portal | <input type="checkbox"/> Through a DAPSI partner | <input type="checkbox"/> Referral |
| <input type="checkbox"/> Other | | |

Are you done? Click below to finalize

SUBMIT APPLICATION

ANNEX IV PROPOSAL TEMPLATE

PROPOSAL TEMPLATE

NGI DAPSI 2nd Open Call

Version of 20/11/2020

Please use this template to prepare your proposal. It has been organised to ensure that the important aspects of your planned work are clearly measurable with respect to the evaluation criteria. Sections 2 to 4 each correspond to an evaluation criterion (see the Guide for Applicants document for details: <https://www.dapsi.ngi.eu/apply>)

The structure of this template must be followed when preparing your proposal. Applicants using other kind of template/ document structure will be automatically ineligible.

Only proposals that successfully address all the required aspects will have a chance of being funded.

Please take advantage of the different communication instruments offered by the NGI_DAPSI Consortium (i.e. info webinars, help-desk, Q&A section in the website) to receive feedback on any questions you may have before submitting your proposal.

The page limit for full proposals is 7 pages (Including cover page). Please also respect the limits indicated in each section. All tables must be included within this limit. The minimum font size allowed is 11 points. The page size is A4, and all margins (top, bottom, left, right) should be at least 20 mm.

If you attempt to upload a proposal longer than the specified limit, excess pages will be made invisible, and will not be taken into consideration by the experts.

There is not mandatory text style. However, it is recommended to use Montserrat, Montserrat light.

Please delete this page when submitting the proposal.

Delete the guidance text in blue in each section.

NGI_DAPSI 2ND OPEN CALL

Acronym of your proposal

Full title of your proposal

Date of submission:

[Cover Page]

1 OVERVIEW OF THE PROPOSAL (MAXIMUM 1 PAGE)

1.1 EXECUTIVE SUMMARY

Indicate to which main subdomain you are applying for and justify this.

TABLE 1 TYPE OF PROJECT

Subdomain	Justify your selection and briefly explain it.
Service Portability	
Data Interoperability & Compatibility	
Security & Privacy	
Other	

Include a public project summary that can be published if the project is funded.

2 EXCELLENCE/INNOVATION (MAXIMUM 2 PAGES)

Describe the overall project idea and objectives within the scope of the call in a credible and clear way, addressing the challenge proposed in the selected subdomain/s. Include also:

- *The technical challenges and barriers expected to be solved.*
- *The way the project intends to use Free and Open Source Licenses and/or open standards.*
- *Identify and justify your project outcomes (which should be clear, measurable, and realistic) and how they will generate added value with respect to state of the art in the field of Data Portability. Notice that the projects should have research and development as the main focus. Projects delivering, paperwork as main (or only) output at the end of the project are not in the scope of the call.*
- *Explain the relevance and socio-economic impact and benefits of your solution.*
- *Explain the exploitation potential of your project: if you plan a Commercial exploitation, non-commercial but with a relevant contribution to the internet community, or other.*

Even if open-source, projects can lead to commercial exploitation (with some extra effort). Explain to what extent the implementation is extendable and can be integrated into (contribute to) a commercial product. In case you plan a commercial exploitation; it is expected that the applicant explains what business stage defines it best. In addition, based on the current stage, describe the ideal 1st customer, partner, user, integration, pilot, PoC. Be as specific as possible.

3 EXPERTISE AND EXCELLENCE OF THE TEAM (MAXIMUM 1 PAGE)

3.1 TEAM COMPOSITION

Summarise the core project team in the table below. Notice that the people included in the proposal must be later involved in the execution. The later involvement of additional people not identified in the proposal is welcome always the core team is maintained.

Take into account that if the core team suffers any modification after the submission of the proposal, it should be notified and duly justified to DAPSI consortium via email for approval as this section will be evaluated according to the specific experts included in the document.

TABLE1 PROPOSED CORE TEAM

Partner: <i>Include the entity name if applies. Let it empty in case of individuals</i>			
Name of the person	Role in the project	LinkedIn profile (or similar)	Entity (if applies)

Add lines as required

- Please provide a short summary of the relevant experience of each team member. Include previous project references relevant to the proposal, products, publications, participation in conferences, collaborations, community projects, etc. Link to evidence of these records will be appreciated as they will help evaluators to assess the team experience and skills (e.g. GITHUB, LinkedIn...).
- Justify why the team has the required knowledge to assure a successful project execution.
- If applicable, explain the alignment of the proposed project with the current entity's business and strategy, if this is a new line of work, etc.
- Describe (if relevant) links or relationship with other entities, customers or experts that are relevant for the implementation of the project (i.e. external advisory board).

3.2 TEAM MOTIVATION

Briefly explain the motivation of the team to apply to this call.

4 PROJECT PLANNING (MAXIMUM 2 PAGES)

Notice that the programme has two phases to progress in the development of the proposed solution. Take this into account to align this with your planning:

- Phase 1: You will carry out the R&D activities. You will get training and access to infrastructure. At the end of the phase, you will attend an event to present the first prototype of your solution. Period of time: 5 months
- Phase 2: You will develop an MVP (Minimum Viable Product) or service or similar by the end of this phase. In this process, you will get access to a higher level of infrastructure and be supported with a pack of technical and business-related services. Period of time: 4 months

4.1 MAIN ACTIVITIES OF THE PROJECT (MAXIMUM 1 PAGE)

Please briefly describe the main activities, steps and deliverables to achieve the expected results and the timing for completion during the programme.

4.1.1 Milestones

Please list the main milestones of the project.

TABLE 2 MILESTONES

n°	Milestone description	(Tech/Business)	Date (From M1-M9)

4.2 VALUE FOR MONEY (MAXIMUM 1 PAGE)

Please indicate the number of person-months (full-time equivalent) of people involved in the project in the table below for the 9 months of project:

TABLE 3 PERSON-MONTH

Entity (If applies)	Name of the person	Person months (PMs ¹)
	TOTAL	

Add lines as required.

Provide a description of expected costs and the requested total contribution using the table.

TABLE 4 TOTAL BUDGET

Cost category	Entity 1/Individual (€)	Entity2/individual2 (If applies) (€)	Total Amount (€)
Personnel			
Equipment			
Travel			
Others			
...			
TOTAL			

Remove or add columns as needed if this is a proposal submitted by a Legal Entity (or many) or by a natural person (or many). In case of group of individuals, the budget of each person should be clearly identified in a separate column. In the case of legal entities, indicate the whole budget for each entity in a separate column.

¹ Notice that a PM is a metric for expressing the effort of a person dedicated full time in one month.

ANNEX V ADDITIONAL APPLICANTS(S) INFORMATION

Additional Applicant(s) Information Template

NGI DAPSI 2nd Open Call

Version of 20/11/2020

If your proposal has more than 3 applicants participating as individuals (Natural persons) or/and more than 3 applicants participating as organisations (Legal entities), please upload to the SECTION 2 of your application this Annex, filled with the information about the applicant(s) that did not fit in the F6S form.

The structure of this template must be followed when preparing your proposal. Applicants using other kind of template/ document structure will be automatically ineligible.

Only applicant(s) that successfully address all the required aspects will have a chance of being funded.

Please take advantage of the different communication instruments offered by the NGI_DAPSI Consortium (i.e. info webinars, help-desk, Q&A section in the website) to receive feedback on any questions you may have before submitting your document.

There is no **page limit for this document**. Please respect the structure of each table. The minimum font size allowed is 11 points. The page size is A4, and all margins (top, bottom, left, right) should be at least 20 mm.

If you attempt to upload a document with other content than the requested, it will not be taken into consideration.

Please delete this page when submitting the document

NGI_DAPSI 2nd Open Call

Additional Applicant(s) Information

Call for proposals from the Partnership for data portability and services incubator– NGI_DAPSI

Acronym of your proposal

Full title of your proposal

Date of submission:

1 Additional applicant(s) participating as individual(s) (Natural person)

Information type	Fill this column
Name:	
Surname:	
E-mail:	
ID type of document:	
ID number:	
Country of residence/work:	
Has been funded by the European Commission before? (Grant or subgrant) (Yes/No)	
Has been funded by other NGI project? (Yes/No) If yes, indicate which one and explain the overlaps and differences with the current proposal.	
Have you recently applied to an NGI call that is under evaluation or Do you plan to apply to? Yes/No. If yes, indicate which one and explain the overlaps and differences with the current proposal.	

Copy and add as many tables as applicants participating as natural persons which didn't fit in the F6S form.

2 Additional applicant(s) participating as organisation(s) (Legal entity)

Information type	Fill this column
Entity legal name	
Legal status of your organisation (Indicate only one type)	
1. Secondary or Higher education establishment 2. Research organisation 3. Large enterprise 4. Small or medium enterprise 5. Public body 6. A non-profit organisation, association, NGO 7. Foundation 8. International organisation 9. Other	
VAT number	
Incorporation year	
Contact person email	
Country	
Has the legal entity been funded by the European Commission through H2020 before? (Grant or subgrant) (Yes/No)	
Has the legal entity been funded by other NGI project? (Yes/No) If yes, indicate which one and explain the overlaps and differences with the current proposal.	
Have you recently applied to an NGI call that is under evaluation or Do you plan to apply to? Yes/No. If yes, indicate which one and explain the overlaps and differences with the current proposal.	

Copy and add as many tables as applicants participating as legal entities which didn't fit in the F6S form.